

Delegated/Outsourced Activities

Line Of Business	Product	Location ¹	Tasks	Type of Data
Transfer Agency	UCITs & Non UCITs	BNY Japan	Client communication/translation support for Japanese distributors/investors	Client / Investors
		BNY Singapore	End of day processing & cash flow reporting to Fund Managers and Management Company Intra-Day Controls and reporting Registration set-up and maintenance AML/KYC review/set-up/maintenance/support Investor Servicing Dealing processing and monitoring Settlement, Reconciliation and Payment	Client / Investors
		BNY HK	End of day processing & cash flow reporting to Fund Managers and Management Company Intra-Day Controls and reporting Registration set-up and maintenance AML/KYC review/set-up/maintenance/support Investor Servicing Dealing processing and monitoring Settlement, Reconciliation and Payment	Client / Investors
		BNY India	End of day processing & cash flow reporting to Fund Managers and Management Company Intra-Day Controls and reporting Registration set-up and maintenance AML/KYC review/set-up/maintenance/support Dealing processing and monitoring Settlement, Reconciliation and Payment Retrocession & Commission Clearing processing and reconciliation Distribution maintenance & processing Management Information reporting and client service packs	Client / Investors
		BNY USA	Investor Servicing	Client / Investors
		BNY Ireland	Investor Servicing Registration set-up and maintenance Dealing processing and monitoring Settlement, Reconciliation and Payment	Client / Investors
		BNY UK	Investor Servicing Dealing processing and monitoring Settlement, Reconciliation and Payment	Client / Investors
		BNY Poland	End of day processing & cash flow reporting to Fund Managers and Management Company Intra-Day Controls and reporting Registration set-up and maintenance AML/KYC review/set-up/maintenance/support Investor Servicing Receipt, input and authorisation of subscriptions and redemptions, transfer and switches Settlement, Reconciliation and Payment Retrocession & Commission Clearing processing and reconciliation Distribution maintenance & processing	Client / Investors

			Management Information reporting and client service packs	
Transfer Agency	Alternative Funds	BNY USA	Account Opening / Registration / AML Dealing Payments / Cash Processing Bank Account Reconciliations investors Communications Client & Investors Reporting Investors Servicing Data Location / System Processing	Client / Investors
		BNY Ireland	Account Opening / Registration / AML Dealing Payments / Cash Processing Bank Account Reconciliations investors Communications Client & Investors Reporting Investors Servicing	Client / Investors
		BNY UK	Data Location / System Processing	Client / Investors
		BNY Poland	Account Opening / Registration / AML Dealing Payments / Cash Processing Bank Account Reconciliations investors Communications Client & Investors Reporting Investors Servicing	Client / Investors
		BNY India	Account Opening / Registration / AML Dealing Payments / Cash Processing Bank Account Reconciliations investors Communications Client & Investors Reporting Investors Servicing	Client / Investors
Fund Accounting	UCITs & Non UCITs	BNY USA	NAV Construction Pricing / Corporate Actions Static Data Management Reconciliations Trade Capture Expenses Management Data Location / System Processing Derivatives management / Pricing	Client
		BNY Ireland	Financial Reporting Static Data Management / Pricing / Corporate Actions Expenses Management NAV Construction Derivatives Management	Client
		BNY UK	Reconciliations Trade Capture	Client

		BNY Poland	Static Data Management / Pricing / Corporate Actions Expenses Management NAV Construction Derivatives Management Regulatory Reporting Reconciliations	Client
		BNY India	Financial Reporting Reconciliations Trade Capture NAV Construction Static Data Management / Pricing / Corporate Actions	Client
Fund Accounting	Alternative Funds	BNY USA	Trade Capture Static Data Management / Pricing / Corporate Actions Reconciliations NAV Construction	Client
		BNY Ireland	Trade Capture Static Data Management / Pricing / Corporate Actions Reconciliations NAV Construction Financial Reporting OTC Processing Regulatory Reporting	Client
		BNY Poland	Trade Capture Static Data Management / Pricing / Corporate Actions Reconciliations NAV Construction Cash Processing Regulatory Reporting	Client
		BNY India	Financial Reporting Trade Capture Static Data Management / Pricing / Corporate Actions Reconciliations NAV Construction	Client
Trust & Depositary	ALL	BNY Poland	1. Recordkeeping and ownership verification of "other assets" duties 2. Cash Monitoring duties 3. Investors subscriptions monies and subscriptions & redemptions monitoring 4. Timely settlement monitoring 5. Income distribution monitoring 6. Alto Support Services 7. Billing Support Services 8. Assets Under Custody and/or Administration & Report of Condition and Income, Schedule T 9. Restitution Risk Reporting 10. Embedded Risk Function 11. Transaction Monitoring activities 12. NAV support	Client
	ALL	BNY Dublin	1. Books & Records Maintenance 2. Books & Records reconciliation	Client

Data	ALL	BNY UK BNY USA	Data Storage & Backups	Client / Investors
Transfer Agency	UCITs & Non UCITs	Capita Business Services Limited 65 Gresham Street, GB/London, EC2V 7NQ	Processing and sending (fax, email, post) of: • Dealing Contract Notes • Distribution Vouchers • Statements (Dealing & Retrocession) • Ad-hoc mailings	Investors
Transfer Agency	UCITs & Non UCITs	Bravura Solutions PTY Limited 5th Floor, 201 Bishopsgate London EC2M 3AB United Kingdom	Technology provider (hosting of data) of the Mutual Fund Transfer Agency system / including their sub-contractors TIBCO & Vodafone	Investors
Transfer Agency	UCITs & Non UCITs	STRATEGIC SOFTWARE APPLICATIONS LTD (SSAL) Warnford Court 29 Throgmorton Street London EC2N 2AT United Kingdom	Vendor of the application (Ruleguard) that enables recording and end to end process of regulatory breaches and complaints / including Rackspace UK where the servers used by SSAL are hosted	Client/ Investors

¹ BNY Entities employed in the relevant location

The Bank of New York Mellon - Singapore Branch

BNY Mellon International Operations (India) Private Limited

The Bank of New York Mellon Trust (Japan) Ltd

BNY Mellon Investment Servicing (US) Inc

The Bank of New York Mellon, Hong Kong Branch

The Bank of New York Mellon London Branch

Bank of New York Mellon Fund Services Ireland Designated Activity Company – BNYM FSIDAC

BNY Mellon (Poland) Sp. Zo.o.

The Bank of New York Mellon SA/NV, Poland Branch

The Bank of New York Mellon SA/NV, Dublin Branch

The Bank of New York Mellon

BNY Mellon Investment Servicing (US) Inc